



ORIGINAL FILE

Aksyuk 28-59-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

0300

Patent Application

Applicants(s): Vladimir A. Aksyuk
Christopher R. Doerr
Dan Fuchs
Case: 28-59-1
Serial No.: 10/081,498
Filing Date: February 22, 2002
Group: 2874
Examiner: Unassigned

I hereby certify that this paper is being deposited on this date with the U.S. Postal Service as first class mail addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

Signature: *Linda Shultz* Date: May 6, 2002

5/ formal
drawings
J. Step 100
11-18-02

Title: Planar Lightwave Wavelength Device Using Moveable Mirrors

TRANSMITTAL OF FORMAL DRAWINGS

Assistant Commissioner for Patents
Washington, D.C. 20231

Attention: Official Draftsperson

SIR:

Applicant(s) submit herewith seven (7) sheets of formal drawings in the above-referenced application.

Respectfully submitted,

Kevin M. Mason

Date: May 6, 2002

Kevin M. Mason
Attorney for Applicants
Reg. No. 36,597
Ryan, Mason & Lewis, LLP
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ORIGINALLY FILED

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Signature: *Kevin M. Mason* Date: May 6, 2002

Title: Planar Lightwave Wavelength Device Using Moveable Mirrors

TRANSMITTAL OF MISSING PARTS

Assistant Commissioner for Patents
Washington, D.C. 20231

Box Missing Parts

SIR:

In response to the Notice to File Missing Parts, dated March 26, 2002, Applicants submit herewith the following documents to complete the above-referenced patent application:

- (1) Declaration and Power of Attorney;
- (2) Assignment with Recordation Cover Sheet;
- (3) Preliminary Amendment with marked-up and corrected Fig. 5; and
- (4) Information Disclosure Statement with PTO-1449 and cited reference(s).

A copy of the Notice is enclosed herewith. Please charge Deposit Account No. 50-0762 the amount of \$1,012.00, to cover the filing fees, the surcharge under 37 CFR §1.16(e) and the assignment recordation fee. In the event of non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Deposit Account No. 50-0762 as required to correct the error. Duplicate copies of this letter are enclosed.

Respectfully submitted,

Kevin M. Mason

Date: May 6, 2002

Kevin M. Mason
Attorney for Applicant(s)
Reg. No. 36,597
Ryan, Mason & Lewis, LLP
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WASHINGTON, D.C. 20231
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/081,498 | 02/22/2002 | Vladimir A. Aksyuk | 28-59-1 |

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Fairfield, CT 06430

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APR - 1 2002

CONFIRMATION NO. 1508

FORMALITIES LETTER

OC000000007717130

Date Mailed: 03/26/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

5/22/2002 BABRAH1 00000010 500762 10081498

FILED UNDER 37 CFR 1.53(b)

1 FC:101 740.00 CH
2 FC:105 130.00 CH
3 FC:103 18.00 CH
4 FC:102 84.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$102.
 - \$18 for 1 total claims over 20.
 - \$84 for 1 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 972.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE